

Massachusetts Division of Insurance Report of Membership<sup>1</sup>  
in Closed Nongroup Plans as of December 31, 2006

	COVERED MEMBERS BY COUNTY COMMERCIAL INSURANCE CARRIERS As of December 31, 2006	Barnstable	Berkshire	Bristol	Dukes	Essex	Franklin	Hampden	Hampshire	Middlesex	Nantucket	Norfolk	Plymouth	Suffolk	Worcester	06 MA TOTAL	05 MA TOTAL
		Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year	Members at End of Year
1	Aetna Life Insurance Company	3	5	1	0	6	0	4	0	6	0	2	3	3	5	38	54
2	American National Life Insurance Company of Texas	15	6	12	1	7	4	11	0	15	0	11	22	1	4	109	123
3	American Republic Insurance Company	11	2	1	9	36	0	18	7	32	8	3	6	2	3	138	159
4	AXA Equitable Life Insurance Company <sup>2</sup>	36	21	6	15	21	1	3	12	97	3	43	15	19	9	301	306
5	Bankers Life and Casualty Company	1	0	0	0	0	0	1	0	0	0	0	1	0	0	3	7
6	Celtic Life Insurance Company	0	1	0	0	0	1	0	0	1	0	0	0	0	0	3	8
7	Central United Life Insurance Company	2	0	0	0	2	0	1	1	1	0	0	2	0	0	9	10
8	Continental Assurance Company	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1	2
9	Farm Family Life Insurance Company	0	0	1	0	0	1	0	2	3	0	0	0	0	3	10	12
10	Golden Rule Insurance Company	68	13	16	7	15	29	10	25	49	5	6	7	8	18	276	326
11	(The) Guardian Life Insurance Company of America	0	0	5	0	3	1	0	1	5	0	1	6	3	0	25	26
12	ING Life Insurance and Annuity Company	0	2	0	0	0	0	0	0	2	0	0	0	0	0	4	9
13	John Alden Life Insurance Company	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
14	John Hancock Life Insurance Company (U.S.A.) <sup>3</sup>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
15	Knights of Columbus	0	0	0	0	1	0	1	1	3	0	0	0	0	0	6	7
16	(The) MEGA Life and Health Insurance Company	6	1	7	4	4	0	0	1	7	0	3	8	0	1	42	55
17	MetLife Insurance Company of CT <sup>4</sup>	0	0	0	0	0	0	1	0	0	0	0	0	1	0	2	2
18	Metropolitan Life Insurance Company	3	2	5	1	14	4	3	5	27	0	10	8	2	3	87	88
19	Mid-West National Life Insurance Company of Tennessee	3	1	0	0	13	2	2	4	6	0	9	8	0	1	49	71
20	Mutual of Omaha Insurance Company	8	6	0	10	6	1	4	7	19	0	6	2	6	0	75	89
21	National Benefit Life Insurance Company <sup>5</sup>	0	0	0	0	0	2	6	6	13	0	0	0	0	2	29	29
22	National Financial Insurance Company	1	0	0	0	0	0	0	0	4	0	0	0	0	0	5	10
23	National Health Insurance Company	3	0	0	0	12	0	0	0	1	0	0	2	0	5	23	30
24	New York Life Insurance Company	3	0	2	0	1	2	0	0	1	0	0	0	5	0	14	18
25	(The) Prudential Insurance Company of America	23	11	26	1	17	5	13	7	38	7	19	5	8	10	190	232
26	Thrivent Financial for Lutherans <sup>6</sup>	0	0	0	0	0	0	0	2	0	0	0	0	0	0	2	2
27	Time Insurance Company <sup>7,8</sup>	0	1	0	0	0	0	1	0	0	0	0	0	0	0	2	3
28	Trustmark Insurance Company	13	2	4	3	3	0	11	1	12	0	14	9	1	3	76	87
29	UNICARE Life & Health Insurance Company	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
30	United HealthCare Insurance Company	0	0	0	0	1	0	0	0	0	0	0	0	0	0	1	1
31	United Teacher Associates Insurance Company <sup>9</sup>	2	0	1	0	4	0	5	1	4	1	5	0	4	1	28	33
32	Washington National Insurance Company <sup>10</sup>	81	0	10	34	40	6	10	5	60	8	12	7	9	29	311	358
	TOTALS:	282	74	98	85	207	58	105	88	406	32	144	111	72	97	1,859	2,161
	2006 Massachusetts Population <sup>11</sup>	224,816	131,117	545,379	15,515	735,958	72,183	460,520	153,471	1,467,016	10,240	654,753	493,623	687,610	784,992	6,437,193	6,407,382
	2006 Market Penetration Rate <sup>12</sup>	0.1%	0.1%	0.0%	0.5%	0.0%	0.1%	0.0%	0.1%	0.0%	0.3%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%

<sup>1</sup> According to M.G.L. c. 176M §1 a "closed plan" is defined as a "nongroup health plan issued by a carrier to a natural person for said person, as well as any covered dependents, prior to the first day of the first open enrollment period" (October 1, 1997).

<sup>2</sup> Effective December 7, 2004 The Equitable Life Assurance Society of the U.S. changed its name to AXA Equitable Life Insurance Company.

<sup>3</sup> Effective January 1, 2005, The Manufacturers Life Insurance Company (U.S.A.) changed its name to John Hancock Life Insurance Company (U.S.A.). As of March 8, 2006, carrier completed the discontinuance filing requirements for its "closed" plan according to M.G.L. c. 176M §3(d).

<sup>4</sup> The administrator for (The) Travelers Insurance Company ("Travelers") notified the Division that Travelers closed block business is now the financial responsibility of MetLife Insurance Company of CT.

<sup>5</sup> Carrier notified the Division that the increase in Members in 2005 was due to policyholders moving into Massachusetts during the calendar year.

<sup>6</sup> Effective May 21, 2002, Aid Association For Lutherans changed its name to Thrivent Financial for Lutherans.

<sup>7</sup> Effective September 6, 2005, Fortis Insurance Company changed its name to Time Insurance Company.

<sup>8</sup> As of September 17, 2004, Time Insurance Company completed the discontinuance filing requirements for certain "closed" plans according to M.G.L. c. 176M §3(d).

<sup>9</sup> In 2004, United Teacher Associates Insurance Company ("UTA") assumed Investors Life Insurance Company of North America's (ILIC) "Closed" block business. Membership now reported under UTA.

<sup>10</sup> Effective July 1, 2003, Consec Medical Insurance Company ("Conseco") merged into Washington National Insurance Company ("WNI"). All Conseco "closed" membership now reported in WNI's membership statistics.

<sup>11</sup> Table 1: Annual Estimates of the Population for Counties of Massachusetts: April 1, 2000 to July 1, 2006 (CO-EST2006-01-25).

Source: Population Division, U.S. Census Bureau. Release Date: March 22, 2007.

<sup>12</sup> Total Massachusetts members divided by the 2006 Massachusetts population.